GARY D. JOSEPHSON #5299 Assistant Attorney General SEAN D. REYES #7969 Attorney General Attorneys for Utah Insurance Department 160 East 300 South, Fifth Floor PO Box 140874 Salt Lake City, UT 84114

Telephone: 801-366-0375

BEFORE THE INSURANCE COMMISSIONER OF THE STATE OF UTAH

UTAH INSURANCE DEPARTMENT,

Complainant,

V.

INSURANCE TPA.COM, INC., 462 Midland Rd, Janesville, WI 53546 License #257517, EIN: 39-1820226

Respondent.

STIPULATION AND ORDER

Docket No. 2015-073 LC

Enf. Case No. 3634

Judge Mark Kleinfield Administrative Law Judge

STIPULATION

The Utah Insurance Department ("Department"), by and through its legal counsel, and Insurance TPA.Com, Inc., ("Respondent"), by and through its authorized representative, Viola J. Nicks, hereby stipulate and agree as follows:

1. Respondent is an active non-resident Third Party Administrator. Respondent's address is 462 Midland Road, Suite 100, Janesville, WI 53546. Respondent's Utah License Number is 256517.

- 2. The Department has jurisdiction over the parties and subject matter of this administrative action.
- 3. Respondent acknowledges notice of agency action pursuant to Utah Code § 63G-4-201; acknowledges that this Stipulation and Order is an informal proceeding pursuant to Utah Code § 63G-4-202; and irrevocably waives the right to any hearing, review or appeal concerning this matter.
- 4. Respondent knows of its right to be represented by legal counsel and waives this right by either having sought the advice of legal counsel or by having voluntarily chosen not to do so.
- 5. This signed Stipulation and the signed and adopted Order by the Commissioner, along with any Findings of Fact and Conclusions of Law, shall not be subject to any reconsideration, renegotiation, modification, hearing or agency review or appeal.
- 6. The Findings of Fact and Conclusions of Law presented below are accepted by the parties.
- 7. The issuance of the signed and adopted Order proposed below is solely for the purpose of disposing of the specific matter entitled herein.
- 8. The only promises, agreements and understandings that the parties have regarding this matter are contained in this Stipulation.
- 9. Respondent enters into this Stipulation voluntarily, knowingly, and free from any coercion of any kind.
 - 10. The persons signing this Stipulation on behalf of the named parties hereby affirm

that they are authorized to sign and bind the parties.

Dated this 19th day of august 2015.

Peola J. Licks

VIOLA J. NICKS, Kuthorized Representative

INSURANCE TPS.COM, INC.

Dated this 19 th day of august

UTAH DEPARTMENT OF INSURANCE

Based upon the foregoing Stipulation and Department file, the Presiding Officer makes the following Findings of Fact:

FINDINGS OF FACT

- The Department initiated an investigation concerning allegations that Respondent 1. had not reported an administrative action taken against it in the State of Oklahoma.
- The investigation determined that as administrative action had been taken against 2. Respondent in Oklahoma, that more than thirty (30) days had elapsed since that action, and that Respondent had failed to notify the Utah Department of Insurance about that administrative action.

- 3. The Department sent a letter to Respondent on May 27, 2015, outlining the violation of not reporting the Oklahoma action. On June 10, 2015, a response was received from Respondent. The response included and explanation and a copy of the Administrative Order in Oklahoma and a copy of the check which paid the Oklahoma forfeiture.
- 4. On July 14, 2015, Respondent agreed to an administrative forfeiture in the amount of \$750.00 for its failure to timely report.

Based upon the foregoing Stipulation and Findings of Fact, the Presiding Officer enters the following Conclusions of Law:

CONCLUSIONS OF LAW

- 1. Utah Code Section 31A-23a-105 addresses requirements for any individual or agency license issuance and renewal. This includes the requirement that any administrative action taken in another jurisdiction be reported within thirty (30) days of the action becoming final. Respondent violated this statute when it failed to report the administrative action taken against it in the State of Oklahoma.
- 2. Utah Administrative Rule R590-244-4(1)(a)(d) requires a person or licensed entity to submit to the Department in electronic form any and all administrative actions taken against it. Respondent failed to do so.
 - 3. The proposed administrative forfeiture of \$750.00 is appropriate in this matter.

Based upon the foregoing Stipulation, Findings of Fact and Conclusions of Law, the Presiding Officer herewith enters the following Order:

ORDER

IT IS HEREBY ORDERED:

- 1. Respondent, Insurance TPS.Com, Inc., is hereby assessed an administrative forfeiture in the amount of \$750.00.
- 2. The administrative forfeiture shall be paid to the Department within thirty days of the date this signed Order.

DATED this 19 day of August, 2015.

TODD E. KISER Insurance Commissioner

MARK E. KLEINFIELD

Administrative Law Judge
Utah Department of Insurance

State Office Building, Room 3110

Salt Lake City, UT 84114 Telephone (801) 538-3800

NOTIFICATION TO RESPONDENT

You are hereby notified that a failure to obey an Order of the Commissioner may subject you to further penalties, including forfeitures of up to \$5,000 per violation and the suspension or revocation of your license and the filing of an action in district court, which may impose forfeitures of up to \$10,000 per day for continued violation. You are further notified that other jurisdictions in which you may be licensed may require that you report this action to them.

CERTIFICATE OF MAILING

The undersigned hereby certifies that on this date, a true and correct copy of the foregoing STIPULATION AND ORDER was mailed, postage prepaid, to the following:

INSURANCE TPA.COM, INC. VIOLA J. NICKS 462 MIDLAND ROAD, SUITE 100 JANESVILLE, WI 53546

Dated this 20th day of lugust

2015

LINDA HARDY

UTAH INSURANCE DEPARTMENT STATE OFFICE BUILDING, ROOM 3110

SALT LAKE CITY, UT 84114-6901

UTAH Invoice

Printed Date: August 20, 2015

Invoice Date:

July 28, 2015

Balance Due:

\$0.00

Due Date:

September 01, 2015

Invoice ID:

785746

INSURANCE TPACOMINC 462 MIDLAND ROAD SUITE 100 JANESVILLE WI 53546

Item Description

Monetary Penalty Agency

Amount \$750.00

Original Amount Due

\$750.00

Payments Received

7/30/2015 Check

\$750.00 **Balance Due**

\$0.00

UTAH Invoice

Printed Date: August 20, 2015

Invoice Date:

July 28, 2015

Balance Due:

\$0.00

Due Date:

September 01, 2015

Invoice ID:

785746

Make checks payable to: Utah Insurance Department

Send payment to:

Utah Insurance Department 3110 State Office Building Salt Lake City, UT 84114-6901